



05-22-07
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
(Case No. 05-222-US)

PATENT

IAP6 Rec'd PCT/PTO 21 MAY 2007 \$

In re Application of:

Lokesh Joshi, et al.

Serial No.: 10/588,431

Filed: August 4, 2006

For: Rational Design and Engineering of
Proteins and Peptides for
Immunomodulation

Examiner: To be assigned

Group Art Unit: To be assigned

Confirmation No.: 5099

TRANSMITTAL LETTER

Mail Stop: Missing Parts
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Dear Sir/Madam:

In regard to the above-identified U.S. patent application;

1. We are transmitting herewith the attached:

- ☒ Transmittal Letter (1 sheet)
- ☒ Copy of Notice to File Missing Parts mailed April 12, 2007 (2 sheets);
- ☒ Response to Missing Parts Notice (1 sheet);
- ☒ Executed Declaration and Power of Attorney (2 sheets);
- ☒ Return Receipt Postcard

2. With respect to fees:

- a) The Commissioner is hereby authorized to charge our Deposit Account No. 13-2490 in the amount of **\$65.00** for the Small Entity Missing Parts Fee.
- b) Please charge any underpayment or credit any overpayment our Deposit Account, No. 13-2490.

2. CERTIFICATE OF MAILING BY "EXPRESS MAIL" UNDER 37 CFR § 1.10: The undersigned hereby certifies that this Transmittal Letter and the papers, as described hereinabove, are being deposited with the United States Postal Service with sufficient postage as "Express Mail Post Office to Addressee" in a box addressed to: Mail Stop: MISSING PARTS, P.O. Box 1450, Alexandria, VA 22313-1450, on this **21st** day of **May, 2007** Express Mail No. **EV839376576US**

05/23/2007 JBALINAN 00000144 132490 10588431
01 FC:2617 65.00 DA

Respectfully submitted,


David S. Harper, Ph.D.
Registration No. 42,636



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

U.S. APPLICATION NUMBER NO	FIRST NAMED APPLICANT	ATTY. DOCKET NO
10/588,431	Lokesh Joshi	05-222-US

20306
MCDONNELL BOEHNEN HULBERT & BERGHOF LLP
300 S. WACKER DRIVE
32ND FLOOR
CHICAGO, IL 60606

DOCKETED

APR 17 2007

DUE DATE: 6-12-07

BY:

Date Mailed: 04/12/2007

INTERNATIONAL APPLICATION NO.	
PCT/US05/04043	
I.A. FILING DATE	PRIORITY DATE
02/07/2005	02/05/2004

CONFIRMATION NO. 5099

371 FORMALITIES LETTER



OC000000023336169

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 08/04/2006
- Copy of the International Search Report filed on 08/04/2006
- Information Disclosure Statements filed on 08/04/2006
- Biochemical Sequence Diskette filed on 08/04/2006
- U.S. Basic National Fees filed on 08/04/2006
- Priority Documents filed on 08/04/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$65 for a Small Entity:

- \$65 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.
<https://portal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at <http://www.uspto.gov/ebc>.

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

SHELBY J VIGIL

Telephone: (703) 308-9140 EXT 224

PART 1 - ATTORNEY/APPLICANT COPY

U S APPLICATION NUMBER NO	INTERNATIONAL APPLICATION NO	ATTY DOCKET NO
10/588,431	PCT/US05/04043	05-222-US



PATENT

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Examiner: To be assigned

Group Art Unit: To be assigned

Confirmation No.: 5099

Mail Stop: MISSING PARTS
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

RESPONSE TO NOTICE OF MISSING PARTS
MAILED 12 APRIL 2007

Dear Sir or Madam:

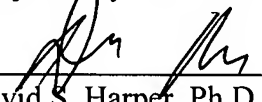
In reference to the Notice of Missing Parts mailed 12 April 2007, attached to this paper please find an executed Declaration and Power of Attorney for the above-referenced U.S. Patent Application. The Commissioner is hereby authorized to charge our Deposit Account No. 13-2490 in the amount of \$65.00 for the Small Entity Missing Parts Fee.

If the Examiner has any questions, please contact the below-signed at (312) 913-2106.

Date:

5/26/07

Respectfully submitted,



David S. Harper, Ph.D.
Registration No. 42,636